

# Grant Agreement Preparation Forms

Project number

237922

Project title

THROUGHFLOW—Cenozoic evolution of the Indonesian Throughflow and the origins of Indo-Pacific marine biodiversity: Mapping the biotic response to environmental change

Call (part) identifier

FP7-PEOPLE-ITN-2008

Funding scheme

Marie Curie Actions—Networks for Initial Training (ITN)

## INTRODUCTION

Grant Agreement Preparation Forms (GPF's) have been designed to facilitate the project negotiations and the production of the grant agreement. It is a main communication instruments between the co-ordinator representing the Consortium and the Commission's Project Officer. Essentially the forms are used to identify the beneficiaries that will sign/accede to the grant agreement, to determine the eligible costs and Community contribution and to electronically capture structured information on work packages, efforts, milestones and deliverables. The forms also include a standard declaration to be signed by each participating organisation.

To assist the filling in of the GPF's the following is made available:

- Annotations – these are attached to the standard forms and should be read carefully
- Pre-filled legal information (see below)
- NEF – NEF is an online tool to be used by the co-ordinator and the beneficiaries to edit the content of the GPF's

Legal information is held in the A2.1 form. This information is pre-filled by the Commission services using either information taken from the Commission database or from the proposal. Depending on the validity of this information, the organisation concerned may have to supply to the Commission with a so called "identification fiche" and supporting legal documents. For instructions on this, see the annotations to the A2.1 form.

The budget table (A3.1) is to be used in Part A of Annex I of the grant agreement.

Project Number <sup>1</sup>	237922	Project Acronym <sup>2</sup>	THROUGHFLOW
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## One form per project

### General information

Project title <sup>3</sup>	Cenozoic evolution of the Indonesian Throughflow and the origins of Indo-Pacific marine biodiversity: Mapping the biotic response to environmental change		
Starting date <sup>4</sup>	The first day of the month after the signature by the Commission		
Duration in months <sup>5</sup>	48		
Call (part) identifier <sup>6</sup>	FP7-PEOPLE-ITN-2008		
Marie Curie action-code	ITN: Networks for Initial Training (ITN)	Scientific panel	ENV: Environment and geosciences
Activity code(s) most relevant to your topic <sup>7</sup>	FP7-PEOPLE-ITN-2008: Marie Curie Action: "Networks for Initial Training"		
Free keywords <sup>8</sup>	Biodiversity inventory: systematics phylogeny taxonomy, Paleontology, Stratigraphy, Marine ecosystems (Climate change), Paleoclimate (Climate change), Paleoceanography		
Abstract <sup>9</sup> (max. 2000 char.)			

A critical agenda for earth and life sciences is to determine how diverse tropical marine ecosystems such as coral reefs will respond to global environmental change. This will require long-term environmental and ecological data that rarely exist for living coral reefs. In contrast, the fossil record provides abundant examples that can be mined to predict future outcomes. The THROUGHFLOW network will train a cohort of eleven ESRs to enable them to reconstruct past environments and patterns of biotic change using up-to-date technologies within a collaborative interdisciplinary framework. The training program will explore the past record of change on coral reefs in SE Asia in response to reorganization of ocean currents during the Cenozoic. This region contains both the Indo-West Pacific Center of Biodiversity (the most diverse shallow marine ecosystems on Earth) and the Indonesian Throughflow (a primary control of global climate). THROUGHFLOW will reconstruct the history of these two features and develop a model for how coral reefs respond to regional environmental change. Practical training will include eleven integrated research projects and a series of joint training activities to facilitate collaboration and provide access to a variety of expertise. THROUGHFLOW will bring important new data to bear on long-standing scientific controversies, and the results will be relevant to a broad audience including industry and policy makers working to predict and plan for the effects of ongoing anthropogenic environmental change. By providing rigorous training in a range of applied techniques in geology and biodiversity, THROUGHFLOW will produce researchers able to pursue successful careers in academia or industry and will enhance the power of the European research community to tackle pressing issues related to the effects of ongoing environmental change on the biosphere.

# A2.1: Who we are

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Coordinator number in this project <sup>10</sup>	1	Coordinator short name <sup>11</sup>	NHM
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One form per participant

## Legal data

If your organisation has already registered for FP7, enter your Participant Identity Code <sup>12</sup>	999642037
Participant legal name <sup>13</sup>	NATURAL HISTORY MUSEUM
Participant short name <sup>11</sup>	NHM
Status of validation <sup>14</sup>	VALID

## Legal address of the participant

Street name <sup>15</sup>	CROMWELL ROAD	Number <sup>15</sup>	
Town <sup>15</sup>	LONDON		
Postal code / Cedex <sup>15</sup>	SW7 5BD		
Country <sup>16</sup>	United Kingdom		
Internet homepage (optional)			

## Registration data of the participant

Legal registration number <sup>17</sup>	...
Registration authority <sup>17</sup>	....
Date of registration <sup>17</sup>	01/01/1900
VAT number <sup>18</sup>	GB645424538
Legal form <sup>19</sup>	GB18

## Legal Entity Appointed Representative (LEAR) <sup>20</sup>

Family name	CARR	First name(s)	Alan
Phone 1 <sup>21</sup>		Phone 2 <sup>21</sup>	
E-mail	a.carr@nhm.ac.uk	Fax <sup>21</sup>	

# A2.2: Who we are

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Coordinator number in this project <sup>10</sup>	1	Coordinator short name <sup>11</sup>	NHM
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One form per participant

Status of your organisation

Certain types of organisations benefit from special conditions under FP7 participation rules. If you are one of these, please tick the appropriate box(es) below. <sup>22</sup>

Your organisation is:

- Natural person
- Legal person
  - Non profit
    - Research Organisation
  - Public body
    - International organisation
    - International organisation of european interest
  - Secondary and higher education establishment
- Enterprise
  - SME

Indirect costs <sup>41</sup>:

- Actual indirect costs <sup>42</sup>
- Simplified method <sup>43</sup>
- Standard flat rate <sup>44</sup>
- Special transitional flat rate <sup>45</sup>

# A2.3: Authorised Representatives

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Coordinator number in this project <sup>10</sup>	1	Coordinator short name <sup>11</sup>	NHM
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## One form per participant

First authorised representative to sign the grant agreement or to commit the organisation for this project			
Family name	Carr	First name(s)	Alan
Title <sup>34</sup>	Mr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>	Head of Finance		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Dept of Finance		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+44 207 942 5824	Phone 2 <sup>21</sup>	+44 207 942 5530
E-mail	a.carr@nhm.ac.uk	Fax <sup>21</sup>	+44 207 942 5400

Second authorised representative to sign the grant agreement or to commit the organisation for this project			
Family name	Greenwood	First name(s)	Neil
Title <sup>34</sup>	Mr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>	Director of Finance		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Dept of Finance		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+44 207 942 5474	Phone 2 <sup>21</sup>	+44 20 7 942 5530
E-mail	n.greenwood@nhm.ac.uk	Fax <sup>21</sup>	+44 207 942 5400

# A2.4: How to contact us

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Coordinator number in this project <sup>10</sup>	1	Coordinator short name <sup>11</sup>	NHM
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### One form per participant

Person in charge of administrative, legal and financial aspects in this project			
Family name	Pike	First name(s)	Vanessa
Title <sup>34</sup>	Ms.	Gender <sup>35</sup> (Female – F / Male – M)	F
Position in the organisation <sup>36</sup>	Head, Research & Consulting		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Science Directorate		
Address (if different from the legal address)			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+44-207-942-5530	Phone 2 <sup>21</sup>	-
E-mail	vanp@nhm.ac.uk	Fax <sup>21</sup>	+44-207-942-5841

Person in charge of scientific and technical/technological aspects in this project			
Family name	Johnson	First name(s)	Kenneth
Title <sup>34</sup>	Dr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>35</sup>	Researcher		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Palaeontology		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+44-207-942-5193	Phone 2 <sup>21</sup>	
E-mail	k.johnson@nhm.ac.uk	Fax <sup>21</sup>	+44-207-942-5546

# A2.5: Our commitment

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Coordinator number in this project <sup>10</sup>	1	Coordinator short name <sup>11</sup>	NHM
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## One form per participant

### Certified declaration

1- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I am fully aware that a grant agreement may not be awarded to an applicant who is, at the time of a grant award procedure, in one of the situations referred to in Articles 93(1), 94 and 96(2)(a) of the Council Regulation (EC, Euratom) N° 1995/2006 of 13 December 2006 on the Financial Regulation applicable to the general budget of the European Communities [OJ L 390, 30/12/2006, p1].

As a consequence, I certify that:

- In compliance with article 93(1) of the abovementioned Regulation, none of the following cases apply to our organisation:
  - a) it is bankrupt or being wound up, is having its affairs administered by the courts, has entered into an arrangement with creditors, has suspended business activities, is the subject of proceedings concerning those matters, or is in any analogous situation arising from a similar procedure provided for in national legislation or regulations;
  - b) it has been convicted of an offence concerning its professional conduct by a judgment which has the force of res judicata;
  - c) it has been guilty of grave professional misconduct proven by any means which the contracting authority can justify;
  - d) it has not fulfilled obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which it is established or with those of the country of the contracting authority or those of the country where the contract is to be performed;
  - e) it has been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the communities' financial interests;
  - f) it is currently subject to an administrative penalty referred to in Article 96(1) of the above-mentioned regulation.
- In compliance with article 94 of the abovementioned Regulation, and as far as the current grant award procedure is concerned, our organisation:
  - g) is not subject to a conflict of interest;
  - h) has not made false declarations in supplying the information required by the Commission as a condition of participation in the grant award procedure or does not fail to supply this information;
  - i) is not in one of the situations of exclusion, referred to in the abovementioned points a) to f).

2- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I also certify that our organisation:

- is committed to participate in the abovementioned project;
- has stable and sufficient sources of funding to maintain its activity throughout its participation in the abovementioned project and to provide any counterpart funding necessary;
- has or will have the necessary resources as and when needed to carry out its involvement in the abovementioned project.

3- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I finally certify that all the information relating to our organisation set out in the different Grant Agreement Preparation Forms are complete, accurate and correct; and that the estimated costs meet the criteria for eligible costs for FP7 projects – as established by the EC model grant agreement – are notably based on our usual accounting and management principles and practices, and reflect the costs expected to be incurred in carrying out the foreseen work described in Annex I (description of work).

4- Our organisation is fully aware that the Commission may impose administrative or financial penalties on legal entities who are guilty of misrepresentation in supplying the information required by the Commission as a condition of participation in the grant award procedure or fail to supply this information; have been declared to be in serious breach of their obligations under any contract/grant agreement covered by the budget of the Community. Such penalties shall be proportionate to the importance of the contract/grant agreement and the seriousness of the misconduct, and may consist in their exclusion from the contracts and grants financed by the budget of the Commission for a maximum period of ten years and payment of financial penalties.

5- As an authorised representative I certify that the information given in the form A2.2 is correct.



# A2.5: Our commitment

Participant legal name <sup>13</sup>	NATURAL HISTORY MUSEUM		
Family name of authorised representative	Carr	First Name(s)	Alan
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	
Family name of authorised representative	Greenwood	First Name(s)	Neil
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	

# A2.6: Data Protection & Coordination Role

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Coordinator number in this project <sup>10</sup>	1	Coordinator short name <sup>11</sup>	NHM
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## Signed only by the Coordinator – Participant No. 1

As co-ordinator on behalf of all proposers I take note of the following statement:

“All personal data (such as names, addresses, CVs, etc.) will be processed in accordance with Regulation (EC) No. 45/2001 of the European Parliament and of the Council of 18 December 2000 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data (Official Journal L 8, 12.01.2001). Such data will be processed solely in connection with the assessment of the project by the Commission department responsible for FP7. On request, proposers may obtain access to their personal data and correct or complete them. Any questions relating to the processing of these data can be addressed to the project officer. Proposers may lodge a complaint against the processing of their personal data with the European Data Protection Supervisor at any time.”

Any sensitive information or material used as background or produced as foreground in this project is covered by a Security Aspect Letter (SAL) <sup>39</sup> which is provided. If sensitive information or material is found to be required at a later stage of the project, amendment to the grant agreement will be requested and a SAL will be provided.

I also certify that our organisation is committed to act as the coordinator of this project.

Participant legal name <sup>13</sup>	NATURAL HISTORY MUSEUM		
Family name of authorised representative	Carr	First Name(s)	Alan
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	
Family name of authorised representative	Greenwood	First Name(s)	Neil
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	

# A2.1: Who we are

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	2	Participant short name <sup>11</sup>	CAU
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One form per participant

## Legal data

If your organisation has already registered for FP7, enter your Participant Identity Code <sup>12</sup>	999839529
Participant legal name <sup>13</sup>	CHRISTIAN-ALBRECHTS-UNIVERSITAET ZU KIEL
Participant short name <sup>11</sup>	CAU
Status of validation <sup>14</sup>	VALID

## Legal address of the participant

Street name <sup>15</sup>	OLSHAUSENSTRASSE	Number <sup>15</sup>	40
Town <sup>15</sup>	KIEL		
Postal code / Cedex <sup>15</sup>	24118		
Country <sup>16</sup>	Germany		
Internet homepage (optional)			

## Registration data of the participant

Legal registration number <sup>17</sup>	STATUTEN
Registration authority <sup>17</sup>	N/A
Date of registration <sup>17</sup>	01/01/1900
VAT number <sup>18</sup>	DE811317279
Legal form <sup>19</sup>	UNK

## Legal Entity Appointed Representative (LEAR) <sup>20</sup>

Family name	NEUFELDT	First name(s)	Susanne
Phone 1 <sup>21</sup>	+494318807246	Phone 2 <sup>21</sup>	
E-mail	sneufeldt@uv.uni-kiel.de	Fax <sup>21</sup>	+494318801560

# A2.2: Who we are

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	2	Participant short name <sup>11</sup>	CAU
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One form per participant

Status of your organisation

Certain types of organisations benefit from special conditions under FP7 participation rules. If you are one of these, please tick the appropriate box(es) below. <sup>22</sup>

Your organisation is:

- Natural person
- Legal person
  - Non profit
    - Research Organisation
  - Public body
    - International organisation
    - International organisation of european interest
  - Secondary and higher education establishment
- Enterprise
  - SME

Indirect costs <sup>41</sup>:

- Actual indirect costs <sup>42</sup>
- Simplified method <sup>43</sup>
- Standard flat rate <sup>44</sup>
- Special transitional flat rate <sup>45</sup>

# A2.3: Authorised Representatives

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	2	Participant short name <sup>11</sup>	CAU
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## One form per participant

First authorised representative to sign the grant agreement or to commit the organisation for this project			
Family name	Herrmann	First name(s)	Oliver
Title <sup>34</sup>	Dr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>		Chancellor	
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>		Central Administration	
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+49-431-8803003	Phone 2 <sup>21</sup>	+49-431-8802103
E-mail	kanzler@praesidium.uni-kiel.de	Fax <sup>21</sup>	+49-431-8807333

Second authorised representative to sign the grant agreement or to commit the organisation for this project			
Family name	Schmidt	First name(s)	Ingmar
Title <sup>34</sup>	Dr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>		Director General Research and Planning	
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>		Central Administration	
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+49-431-8803050	Phone 2 <sup>21</sup>	+49-431-8803059
E-mail	ingmar.schmidt@uv.uni-kiel.de	Fax <sup>21</sup>	+49-431-8801560

# A2.4: How to contact us

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	2	Participant short name <sup>11</sup>	CAU
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## One form per participant

Person in charge of administrative, legal and financial aspects in this project			
Family name	Neufeldt	First name(s)	Susanne
Title <sup>34</sup>	Ms.	Gender <sup>35</sup> (Female – F / Male – M)	F
Position in the organisation <sup>36</sup>	Sachgebietsleiterin		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Referat Forschung		
Address (if different from the legal address)			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>	Germany		
Phone 1 <sup>21</sup>	+49-431-8807246	Phone 2 <sup>21</sup>	+49-431-8803188
E-mail	sneufeldt@uv.uni-kiel.de	Fax <sup>21</sup>	+49-431-8801560

Person in charge of scientific and technical/technological aspects in this project			
Family name	Kuhnt	First name(s)	Wolfgang
Title <sup>34</sup>	Prof.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>35</sup>	Head of Department		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Institute of Geoscience		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>	Ludewig-Meyn-Str.	Number <sup>15</sup>	14
Town <sup>15</sup>	Kiel		
Postal code / Cedex <sup>15</sup>	24118		
Country <sup>16</sup>	Germany		
Phone 1 <sup>21</sup>	+49-431-880-2924	Phone 2 <sup>21</sup>	
E-mail	wk@gpi.uni-kiel.de	Fax <sup>21</sup>	+49-431-880-4376

# A2.5: Our commitment

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	2	Participant short name <sup>11</sup>	CAU
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## One form per participant

### Certified declaration

1- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I am fully aware that a grant agreement may not be awarded to an applicant who is, at the time of a grant award procedure, in one of the situations referred to in Articles 93(1), 94 and 96(2)(a) of the Council Regulation (EC, Euratom) N° 1995/2006 of 13 December 2006 on the Financial Regulation applicable to the general budget of the European Communities [OJ L 390, 30/12/2006, p1].

As a consequence, I certify that:

- In compliance with article 93(1) of the abovementioned Regulation, none of the following cases apply to our organisation:
  - a) it is bankrupt or being wound up, is having its affairs administered by the courts, has entered into an arrangement with creditors, has suspended business activities, is the subject of proceedings concerning those matters, or is in any analogous situation arising from a similar procedure provided for in national legislation or regulations;
  - b) it has been convicted of an offence concerning its professional conduct by a judgment which has the force of res judicata;
  - c) it has been guilty of grave professional misconduct proven by any means which the contracting authority can justify;
  - d) it has not fulfilled obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which it is established or with those of the country of the contracting authority or those of the country where the contract is to be performed;
  - e) it has been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the communities' financial interests;
  - f) it is currently subject to an administrative penalty referred to in Article 96(1) of the above-mentioned regulation.
- In compliance with article 94 of the abovementioned Regulation, and as far as the current grant award procedure is concerned, our organisation:
  - g) is not subject to a conflict of interest;
  - h) has not made false declarations in supplying the information required by the Commission as a condition of participation in the grant award procedure or does not fail to supply this information;
  - i) is not in one of the situations of exclusion, referred to in the abovementioned points a) to f).

2- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I also certify that our organisation:

- is committed to participate in the abovementioned project;
- has stable and sufficient sources of funding to maintain its activity throughout its participation in the abovementioned project and to provide any counterpart funding necessary;
- has or will have the necessary resources as and when needed to carry out its involvement in the abovementioned project.

3- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I finally certify that all the information relating to our organisation set out in the different Grant Agreement Preparation Forms are complete, accurate and correct; and that the estimated costs meet the criteria for eligible costs for FP7 projects – as established by the EC model grant agreement – are notably based on our usual accounting and management principles and practices, and reflect the costs expected to be incurred in carrying out the foreseen work described in Annex I (description of work).

4- Our organisation is fully aware that the Commission may impose administrative or financial penalties on legal entities who are guilty of misrepresentation in supplying the information required by the Commission as a condition of participation in the grant award procedure or fail to supply this information; have been declared to be in serious breach of their obligations under any contract/grant agreement covered by the budget of the Community. Such penalties shall be proportionate to the importance of the contract/grant agreement and the seriousness of the misconduct, and may consist in their exclusion from the contracts and grants financed by the budget of the Commission for a maximum period of ten years and payment of financial penalties.

5- As an authorised representative I certify that the information given in the form A2.2 is correct.

# A2.5: Our commitment

Participant legal name <sup>13</sup>	CHRISTIAN-ALBRECHTS-UNIVERSITAET ZU KIEL		
Family name of authorised representative	Herrmann	First Name(s)	Oliver
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	
Family name of authorised representative	Schmidt	First Name(s)	Ingmar
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	



# A2.1: Who we are

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	3	Participant short name <sup>11</sup>	NNM
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One form per participant

## Legal data

If your organisation has already registered for FP7, enter your Participant Identity Code <sup>12</sup>	999603043
Participant legal name <sup>13</sup>	STICHTING NATIONAAL NATUURHISTORISCH MUSEUM NATURALIS
Participant short name <sup>11</sup>	NNM
Status of validation <sup>14</sup>	VALID

## Legal address of the participant

Street name <sup>15</sup>	DARWINWEG 2	Number <sup>15</sup>	
Town <sup>15</sup>	LEIDEN		
Postal code / Cedex <sup>15</sup>	2333CR		
Country <sup>16</sup>	Netherlands		
Internet homepage (optional)			

## Registration data of the participant

Legal registration number <sup>17</sup>	41169515
Registration authority <sup>17</sup>	
Date of registration <sup>17</sup>	
VAT number <sup>18</sup>	NL803595943B01
Legal form <sup>19</sup>	3205

## Legal Entity Appointed Representative (LEAR) <sup>20</sup>

Family name	Dautzenberg	First name(s)	Hans
Phone 1 <sup>21</sup>	+31715687785	Phone 2 <sup>21</sup>	
E-mail	Dautzenberg@naturalis.nnm.nl	Fax <sup>21</sup>	+31715687666

# A2.2: Who we are

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	3	Participant short name <sup>11</sup>	NNM
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One form per participant

Status of your organisation

Certain types of organisations benefit from special conditions under FP7 participation rules. If you are one of these, please tick the appropriate box(es) below. <sup>22</sup>

Your organisation is:

- Natural person
- Legal person
  - Non profit
    - Research Organisation
  - Public body
  - International organisation
    - International organisation of european interest
  - Secondary and higher education establishment
- Enterprise
  - SME

Indirect costs <sup>41</sup>:

- Actual indirect costs <sup>42</sup>
- Simplified method <sup>43</sup>
- Standard flat rate <sup>44</sup>
- Special transitional flat rate <sup>45</sup>

# A2.3: Authorised Representatives

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	3	Participant short name <sup>11</sup>	NNM
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## One form per participant

### First authorised representative to sign the grant agreement or to commit the organisation for this project

Family name	Geerken	First name(s)	Bert
Title <sup>34</sup>		Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>	director		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>			
<b>Address (if different from the legal address) <sup>12</sup></b>			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+31715687675	Phone 2 <sup>21</sup>	
E-mail	Geerken@naturalis.nl	Fax <sup>21</sup>	+31715687666

### Second authorised representative to sign the grant agreement or to commit the organisation for this project

Family name	van Tol	First name(s)	Jan
Title <sup>34</sup>	Dr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>	Director of Science		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>			
<b>Address (if different from the legal address) <sup>12</sup></b>			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+31715687606	Phone 2 <sup>21</sup>	
E-mail	Tol@naturalis.nl	Fax <sup>21</sup>	+31715687666

# A2.4: How to contact us

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	3	Participant short name <sup>11</sup>	NNM
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## One form per participant

Person in charge of administrative, legal and financial aspects in this project			
Family name	Berkenbosch	First name(s)	Koen
Title <sup>34</sup>	Mr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>	head of finance		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Finance		
Address (if different from the legal address)			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+31 71 5687658	Phone 2 <sup>21</sup>	
E-mail	Berkenbosch@naturalis.nl	Fax <sup>21</sup>	+31 71 5687666

Person in charge of scientific and technical/technological aspects in this project			
Family name	Renema	First name(s)	Willem
Title <sup>34</sup>	Dr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>35</sup>	Researcher		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Geology		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+31 71 5687576	Phone 2 <sup>21</sup>	
E-mail	Renema@naturalis.nl	Fax <sup>21</sup>	+31 71 5687666

# A2.5: Our commitment

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	3	Participant short name <sup>11</sup>	NNM
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## One form per participant

### Certified declaration

1- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I am fully aware that a grant agreement may not be awarded to an applicant who is, at the time of a grant award procedure, in one of the situations referred to in Articles 93(1), 94 and 96(2)(a) of the Council Regulation (EC, Euratom) N° 1995/2006 of 13 December 2006 on the Financial Regulation applicable to the general budget of the European Communities [OJ L 390, 30/12/2006, p1].

As a consequence, I certify that:

- In compliance with article 93(1) of the abovementioned Regulation, none of the following cases apply to our organisation:
  - a) it is bankrupt or being wound up, is having its affairs administered by the courts, has entered into an arrangement with creditors, has suspended business activities, is the subject of proceedings concerning those matters, or is in any analogous situation arising from a similar procedure provided for in national legislation or regulations;
  - b) it has been convicted of an offence concerning its professional conduct by a judgment which has the force of res judicata;
  - c) it has been guilty of grave professional misconduct proven by any means which the contracting authority can justify;
  - d) it has not fulfilled obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which it is established or with those of the country of the contracting authority or those of the country where the contract is to be performed;
  - e) it has been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the communities' financial interests;
  - f) it is currently subject to an administrative penalty referred to in Article 96(1) of the above-mentioned regulation.
- In compliance with article 94 of the abovementioned Regulation, and as far as the current grant award procedure is concerned, our organisation:
  - g) is not subject to a conflict of interest;
  - h) has not made false declarations in supplying the information required by the Commission as a condition of participation in the grant award procedure or does not fail to supply this information;
  - i) is not in one of the situations of exclusion, referred to in the abovementioned points a) to f).

2- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I also certify that our organisation:

- is committed to participate in the abovementioned project;
- has stable and sufficient sources of funding to maintain its activity throughout its participation in the abovementioned project and to provide any counterpart funding necessary;
- has or will have the necessary resources as and when needed to carry out its involvement in the abovementioned project.

3- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I finally certify that all the information relating to our organisation set out in the different Grant Agreement Preparation Forms are complete, accurate and correct; and that the estimated costs meet the criteria for eligible costs for FP7 projects – as established by the EC model grant agreement – are notably based on our usual accounting and management principles and practices, and reflect the costs expected to be incurred in carrying out the foreseen work described in Annex I (description of work).

4- Our organisation is fully aware that the Commission may impose administrative or financial penalties on legal entities who are guilty of misrepresentation in supplying the information required by the Commission as a condition of participation in the grant award procedure or fail to supply this information; have been declared to be in serious breach of their obligations under any contract/grant agreement covered by the budget of the Community. Such penalties shall be proportionate to the importance of the contract/grant agreement and the seriousness of the misconduct, and may consist in their exclusion from the contracts and grants financed by the budget of the Commission for a maximum period of ten years and payment of financial penalties.

5- As an authorised representative I certify that the information given in the form A2.2 is correct.

# A2.5: Our commitment

Participant legal name <sup>13</sup>	STICHTING NATIONAAL NATUURHISTORISCH MUSEUM NATURALIS		
Family name of authorised representative	Geerken	First Name(s)	Bert
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	
Family name of authorised representative	van Tol	First Name(s)	Jan
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	

# A2.1: Who we are

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	4	Participant short name <sup>11</sup>	RHUL
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One form per participant

## Legal data

If your organisation has already registered for FP7, enter your Participant Identity Code <sup>12</sup>	999861451
Participant legal name <sup>13</sup>	ROYAL HOLLOWAY AND BEDFORD NEW COLLEGE
Participant short name <sup>11</sup>	RHUL
Status of validation <sup>14</sup>	VALID

## Legal address of the participant

Street name <sup>15</sup>	EGHAM HILL	Number <sup>15</sup>	
Town <sup>15</sup>	EGHAM, SURREY		
Postal code / Cedex <sup>15</sup>	TW20 0EX		
Country <sup>16</sup>	United Kingdom		
Internet homepage (optional)			

## Registration data of the participant

Legal registration number <sup>17</sup>	ACT 1985
Registration authority <sup>17</sup>	
Date of registration <sup>17</sup>	
VAT number <sup>18</sup>	GB212327410
Legal form <sup>19</sup>	

## Legal Entity Appointed Representative (LEAR) <sup>20</sup>

Family name	Cullen	First name(s)	Janice
Phone 1 <sup>21</sup>	+441784414414	Phone 2 <sup>21</sup>	
E-mail	Janice.cullen@rhul.ac.uk	Fax <sup>21</sup>	+441784497301

# A2.2: Who we are

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	4	Participant short name <sup>11</sup>	RHUL
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One form per participant

Status of your organisation

Certain types of organisations benefit from special conditions under FP7 participation rules. If you are one of these, please tick the appropriate box(es) below. <sup>22</sup>

Your organisation is:

- Natural person
- Legal person
  - Non profit
    - Research Organisation
  - Public body
    - International organisation
    - International organisation of european interest
  - Secondary and higher education establishment
- Enterprise
  - SME

Indirect costs <sup>41</sup>:

- Actual indirect costs <sup>42</sup>
- Simplified method <sup>43</sup>
- Standard flat rate <sup>44</sup>
- Special transitional flat rate <sup>45</sup>



# A2.3: Authorised Representatives

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	4	Participant short name <sup>11</sup>	RHUL
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## One form per participant

First authorised representative to sign the grant agreement or to commit the organisation for this project			
Family name	Patel	First name(s)	Hitesh
Title <sup>34</sup>	Dr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>		Deputy Head (Research)	
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>		Research and Enterprise - Orchard Building	
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+44 1784 44 38 44	Phone 2 <sup>21</sup>	
E-mail	hitesh.patel@rhul.ac.uk	Fax <sup>21</sup>	+44 1784 49 73 01

Second authorised representative to sign the grant agreement or to commit the organisation for this project			
Family name	Carroll	First name(s)	John
Title <sup>34</sup>	Mr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>		Head of Management Accounts	
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>		Finance Department - Huntersdale	
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+44 1784 44 30 20	Phone 2 <sup>21</sup>	
E-mail		Fax <sup>21</sup>	

# A2.4: How to contact us

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	4	Participant short name <sup>11</sup>	RHUL
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## One form per participant

Person in charge of administrative, legal and financial aspects in this project			
Family name	Müller	First name(s)	Wolfgang
Title <sup>34</sup>	Dr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>	Lecturer		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Department of Earth Sciences		
Address (if different from the legal address)			
Street name <sup>15</sup>	Egham Hill	Number <sup>15</sup>	
Town <sup>15</sup>	Egham		
Postal code / Cedex <sup>15</sup>	TW20 0EX		
Country <sup>16</sup>	United Kingdom		
Phone 1 <sup>21</sup>	+44 1784 443584	Phone 2 <sup>21</sup>	
E-mail	w.mueller@gl.rhul.ac.uk	Fax <sup>21</sup>	+44 1784-471780

Person in charge of scientific and technical/technological aspects in this project			
Family name	Müller	First name(s)	Wolfgang
Title <sup>34</sup>	Dr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>35</sup>	Lecturer		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Department of Earth Sciences		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>	Egham Hill	Number <sup>15</sup>	
Town <sup>15</sup>	Egham		
Postal code / Cedex <sup>15</sup>	TW20 0EX		
Country <sup>16</sup>	United Kingdom		
Phone 1 <sup>21</sup>	+44 1784 443584	Phone 2 <sup>21</sup>	
E-mail	w.mueller@gl.rhul.ac.uk	Fax <sup>21</sup>	+44 1784-471780

# A2.5: Our commitment

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	4	Participant short name <sup>11</sup>	RHUL
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## One form per participant

### Certified declaration

1- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I am fully aware that a grant agreement may not be awarded to an applicant who is, at the time of a grant award procedure, in one of the situations referred to in Articles 93(1), 94 and 96(2)(a) of the Council Regulation (EC, Euratom) N° 1995/2006 of 13 December 2006 on the Financial Regulation applicable to the general budget of the European Communities [OJ L 390, 30/12/2006, p1].

As a consequence, I certify that:

- In compliance with article 93(1) of the abovementioned Regulation, none of the following cases apply to our organisation:
  - a) it is bankrupt or being wound up, is having its affairs administered by the courts, has entered into an arrangement with creditors, has suspended business activities, is the subject of proceedings concerning those matters, or is in any analogous situation arising from a similar procedure provided for in national legislation or regulations;
  - b) it has been convicted of an offence concerning its professional conduct by a judgment which has the force of res judicata;
  - c) it has been guilty of grave professional misconduct proven by any means which the contracting authority can justify;
  - d) it has not fulfilled obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which it is established or with those of the country of the contracting authority or those of the country where the contract is to be performed;
  - e) it has been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the communities' financial interests;
  - f) it is currently subject to an administrative penalty referred to in Article 96(1) of the above-mentioned regulation.
- In compliance with article 94 of the abovementioned Regulation, and as far as the current grant award procedure is concerned, our organisation:
  - g) is not subject to a conflict of interest;
  - h) has not made false declarations in supplying the information required by the Commission as a condition of participation in the grant award procedure or does not fail to supply this information;
  - i) is not in one of the situations of exclusion, referred to in the abovementioned points a) to f).

2- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I also certify that our organisation:

- is committed to participate in the abovementioned project;
- has stable and sufficient sources of funding to maintain its activity throughout its participation in the abovementioned project and to provide any counterpart funding necessary;
- has or will have the necessary resources as and when needed to carry out its involvement in the abovementioned project.

3- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I finally certify that all the information relating to our organisation set out in the different Grant Agreement Preparation Forms are complete, accurate and correct; and that the estimated costs meet the criteria for eligible costs for FP7 projects – as established by the EC model grant agreement – are notably based on our usual accounting and management principles and practices, and reflect the costs expected to be incurred in carrying out the foreseen work described in Annex I (description of work).

4- Our organisation is fully aware that the Commission may impose administrative or financial penalties on legal entities who are guilty of misrepresentation in supplying the information required by the Commission as a condition of participation in the grant award procedure or fail to supply this information; have been declared to be in serious breach of their obligations under any contract/grant agreement covered by the budget of the Community. Such penalties shall be proportionate to the importance of the contract/grant agreement and the seriousness of the misconduct, and may consist in their exclusion from the contracts and grants financed by the budget of the Commission for a maximum period of ten years and payment of financial penalties.

5- As an authorised representative I certify that the information given in the form A2.2 is correct.

# A2.5: Our commitment

Participant legal name <sup>13</sup>	ROYAL HOLLOWAY AND BEDFORD NEW COLLEGE		
Family name of authorised representative	Patel	First Name(s)	Hitesh
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	
Family name of authorised representative	Carroll	First Name(s)	John
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	

# A2.1: Who we are

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	5	Participant short name <sup>11</sup>	uni-HB
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One form per participant

## Legal data

If your organisation has already registered for FP7, enter your Participant Identity Code <sup>12</sup>	999987454
Participant legal name <sup>13</sup>	UNIVERSITAET BREMEN
Participant short name <sup>11</sup>	uni-HB
Status of validation <sup>14</sup>	VALID

## Legal address of the participant

Street name <sup>15</sup>	Bibliothekstrasse	Number <sup>15</sup>	1
Town <sup>15</sup>	BREMEN		
Postal code / Cedex <sup>15</sup>	28359		
Country <sup>16</sup>	Germany		
Internet homepage (optional)	www.uni-bremen.de		

## Registration data of the participant

Legal registration number <sup>17</sup>	
Registration authority <sup>17</sup>	
Date of registration <sup>17</sup>	22/09/1970
VAT number <sup>18</sup>	DE811245070
Legal form <sup>19</sup>	UNK

## Legal Entity Appointed Representative (LEAR) <sup>20</sup>

Family name	Reinold	First name(s)	Silke
Phone 1 <sup>21</sup>	+4942121860236	Phone 2 <sup>21</sup>	
E-mail	sreinold@uni-bremen.de	Fax <sup>21</sup>	+494212189860326

# A2.2: Who we are

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	5	Participant short name <sup>11</sup>	uni-HB
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One form per participant

Status of your organisation

Certain types of organisations benefit from special conditions under FP7 participation rules. If you are one of these, please tick the appropriate box(es) below. <sup>22</sup>

Your organisation is:

- Natural person
- Legal person
  - Non profit
    - Research Organisation
  - Public body
    - International organisation
    - International organisation of european interest
  - Secondary and higher education establishment
- Enterprise
  - SME

Indirect costs <sup>41</sup>:

- Actual indirect costs <sup>42</sup>
- Simplified method <sup>43</sup>
- Standard flat rate <sup>44</sup>
- Special transitional flat rate <sup>45</sup>

# A2.3: Authorised Representatives

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	5	Participant short name <sup>11</sup>	uni-HB
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## One form per participant

First authorised representative to sign the grant agreement or to commit the organisation for this project			
Family name	Kueck	First name(s)	Gerd-Ruediger
Title <sup>34</sup>	Mr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>	Chief Financial Officer		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Universitaet Bremen, Der Kanzler		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+49 421 21860101	Phone 2 <sup>21</sup>	+49 421 21860100
E-mail	sekrkanz@uni-bremen.de	Fax <sup>21</sup>	+49 421 2188785

Second authorised representative to sign the grant agreement or to commit the organisation for this project			
Family name	Kuehne	First name(s)	Rolf
Title <sup>34</sup>	Mr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>	Financial Officer		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Uni Bremen, Dezernat 3		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+49 421 21860500	Phone 2 <sup>21</sup>	+49 421 21860501
E-mail	rkuehne@uni-bremen.de	Fax <sup>21</sup>	+49 421 2184193

# A2.4:

## How to contact us

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	5	Participant short name <sup>11</sup>	uni-HB
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### One form per participant

Person in charge of administrative, legal and financial aspects in this project			
Family name	Meier	First name(s)	Carsten
Title <sup>34</sup>		Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>			
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>			
Address (if different from the legal address)			
Street name <sup>15</sup>	Dezernat	Number <sup>15</sup>	3
Town <sup>15</sup>	Bremen		
Postal code / Cedex <sup>15</sup>	28334		
Country <sup>16</sup>	Germany		
Phone 1 <sup>21</sup>	+49-421-218 60550	Phone 2 <sup>21</sup>	
E-mail	cmeier@uni-bremen.de	Fax <sup>21</sup>	+49-421-218 4005

Person in charge of scientific and technical/technological aspects in this project			
Family name	Schulz	First name(s)	Michael
Title <sup>34</sup>	Prof.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>35</sup>	Head of the Geosystem Modeling Group		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Department of Geosciences		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>	Klagenfurter Str.	Number <sup>15</sup>	
Town <sup>15</sup>	Bremen		
Postal code / Cedex <sup>15</sup>	28334		
Country <sup>16</sup>	Germany		
Phone 1 <sup>21</sup>	+49-421-21865444	Phone 2 <sup>21</sup>	
E-mail	mschulz@uni-bremen.de	Fax <sup>21</sup>	+49-421-21865454



# A2.5: Our commitment

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	5	Participant short name <sup>11</sup>	uni-HB
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## One form per participant

### Certified declaration

1- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I am fully aware that a grant agreement may not be awarded to an applicant who is, at the time of a grant award procedure, in one of the situations referred to in Articles 93(1), 94 and 96(2)(a) of the Council Regulation (EC, Euratom) N° 1995/2006 of 13 December 2006 on the Financial Regulation applicable to the general budget of the European Communities [OJ L 390, 30/12/2006, p1].

As a consequence, I certify that:

- In compliance with article 93(1) of the abovementioned Regulation, none of the following cases apply to our organisation:
  - a) it is bankrupt or being wound up, is having its affairs administered by the courts, has entered into an arrangement with creditors, has suspended business activities, is the subject of proceedings concerning those matters, or is in any analogous situation arising from a similar procedure provided for in national legislation or regulations;
  - b) it has been convicted of an offence concerning its professional conduct by a judgment which has the force of res judicata;
  - c) it has been guilty of grave professional misconduct proven by any means which the contracting authority can justify;
  - d) it has not fulfilled obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which it is established or with those of the country of the contracting authority or those of the country where the contract is to be performed;
  - e) it has been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the communities' financial interests;
  - f) it is currently subject to an administrative penalty referred to in Article 96(1) of the above-mentioned regulation.
- In compliance with article 94 of the abovementioned Regulation, and as far as the current grant award procedure is concerned, our organisation:
  - g) is not subject to a conflict of interest;
  - h) has not made false declarations in supplying the information required by the Commission as a condition of participation in the grant award procedure or does not fail to supply this information;
  - i) is not in one of the situations of exclusion, referred to in the abovementioned points a) to f).

2- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I also certify that our organisation:

- is committed to participate in the abovementioned project;
- has stable and sufficient sources of funding to maintain its activity throughout its participation in the abovementioned project and to provide any counterpart funding necessary;
- has or will have the necessary resources as and when needed to carry out its involvement in the abovementioned project.

3- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I finally certify that all the information relating to our organisation set out in the different Grant Agreement Preparation Forms are complete, accurate and correct; and that the estimated costs meet the criteria for eligible costs for FP7 projects – as established by the EC model grant agreement – are notably based on our usual accounting and management principles and practices, and reflect the costs expected to be incurred in carrying out the foreseen work described in Annex I (description of work).

4- Our organisation is fully aware that the Commission may impose administrative or financial penalties on legal entities who are guilty of misrepresentation in supplying the information required by the Commission as a condition of participation in the grant award procedure or fail to supply this information; have been declared to be in serious breach of their obligations under any contract/grant agreement covered by the budget of the Community. Such penalties shall be proportionate to the importance of the contract/grant agreement and the seriousness of the misconduct, and may consist in their exclusion from the contracts and grants financed by the budget of the Commission for a maximum period of ten years and payment of financial penalties.

5- As an authorised representative I certify that the information given in the form A2.2 is correct.

# A2.5: Our commitment

Participant legal name <sup>13</sup>	UNIVERSITAET BREMEN		
Family name of authorised representative	Kueck	First Name(s)	Gerd-Ruediger
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	
Family name of authorised representative	Kuehne	First Name(s)	Rolf
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	

# A2.1: Who we are

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	6	Participant short name <sup>11</sup>	UGR
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One form per participant

## Legal data

If your organisation has already registered for FP7, enter your Participant Identity Code <sup>12</sup>	999882015
Participant legal name <sup>13</sup>	UNIVERSIDAD DE GRANADA
Participant short name <sup>11</sup>	UGR
Status of validation <sup>14</sup>	VALID

## Legal address of the participant

Street name <sup>15</sup>	CUESTA DEL HOSPICIO	Number <sup>15</sup>	S/N
Town <sup>15</sup>	GRANADA		
Postal code / Cedex <sup>15</sup>	18071		
Country <sup>16</sup>	Spain		
Internet homepage (optional)	www.ugr.es		

## Registration data of the participant

Legal registration number <sup>17</sup>	na
Registration authority <sup>17</sup>	na
Date of registration <sup>17</sup>	01/01/1900
VAT number <sup>18</sup>	Q-1818002-F
Legal form <sup>19</sup>	UNK

## Legal Entity Appointed Representative (LEAR) <sup>20</sup>

Family name	Sánchez de Medina López-Huertas	First name(s)	Fermín
Phone 1 <sup>21</sup>	+34958240876	Phone 2 <sup>21</sup>	+34609822217
E-mail	fsm.ofpi@gmail.com	Fax <sup>21</sup>	+34958240886

# A2.2: Who we are

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	6	Participant short name <sup>11</sup>	UGR
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One form per participant

Status of your organisation

Certain types of organisations benefit from special conditions under FP7 participation rules. If you are one of these, please tick the appropriate box(es) below. <sup>22</sup>

Your organisation is:

- Natural person
- Legal person
  - Non profit
    - Research Organisation
  - Public body
    - International organisation
      - International organisation of european interest
  - Secondary and higher education establishment
- Enterprise
  - SME

Indirect costs <sup>41</sup>:

- Actual indirect costs <sup>42</sup>
- Simplified method <sup>43</sup>
- Standard flat rate <sup>44</sup>
- Special transitional flat rate <sup>45</sup>

# A2.3: Authorised Representatives

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	6	Participant short name <sup>11</sup>	UGR
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## One form per participant

First authorised representative to sign the grant agreement or to commit the organisation for this project			
Family name	Suarez Ortega	First name(s)	M <sup>a</sup> Dolores
Title <sup>34</sup>	Prof.	Gender <sup>35</sup> (Female – F / Male – M)	F
Position in the organisation <sup>36</sup>	Vice-rector		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Hospital Real		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+34958248024	Phone 2 <sup>21</sup>	+34958240890
E-mail	ofpi@ugr.es	Fax <sup>21</sup>	+34958240886

Second authorised representative to sign the grant agreement or to commit the organisation for this project			
Family name	Gonzalez Lodeiro	First name(s)	Francisco
Title <sup>34</sup>	Prof.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>	Rector		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Rectorado - Hospital Real		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>		Number <sup>15</sup>	
Town <sup>15</sup>			
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>			
Phone 1 <sup>21</sup>	+34958248024	Phone 2 <sup>21</sup>	+34958240890
E-mail	ofpi@ugr.es	Fax <sup>21</sup>	+34958240886

# A2.4:

## How to contact us

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	6	Participant short name <sup>11</sup>	UGR
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### One form per participant

Person in charge of administrative, legal and financial aspects in this project			
Family name	Cano Osuna	First name(s)	Monica
Title <sup>34</sup>	Ms.	Gender <sup>35</sup> (Female – F / Male – M)	F
Position in the organisation <sup>36</sup>	Project manager		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Oficina de Proyectos Internacionales		
Address (if different from the legal address)			
Street name <sup>15</sup>	Acera de San Ildefonso	Number <sup>15</sup>	42
Town <sup>15</sup>	Granada		
Postal code / Cedex <sup>15</sup>	18071		
Country <sup>16</sup>	Spain		
Phone 1 <sup>21</sup>	+34 958 240890	Phone 2 <sup>21</sup>	+34 958 248024
E-mail	monicacano@ugr.es	Fax <sup>21</sup>	+34 958 240886

Person in charge of scientific and technical/technological aspects in this project			
Family name	Braga	First name(s)	Juan C.
Title <sup>34</sup>	Prof.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>35</sup>	Professor of Palaeontology		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Departamento de Estratigrafía y Paleontología		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>	Campus Fuentenueva	Number <sup>15</sup>	
Town <sup>15</sup>	Granada		
Postal code / Cedex <sup>15</sup>	18071		
Country <sup>16</sup>	Spain		
Phone 1 <sup>21</sup>	+34 958 248332	Phone 2 <sup>21</sup>	
E-mail	jbraga@ugr.es	Fax <sup>21</sup>	+34 958 248528

# A2.5: Our commitment

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	6	Participant short name <sup>11</sup>	UGR
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## One form per participant

### Certified declaration

1- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I am fully aware that a grant agreement may not be awarded to an applicant who is, at the time of a grant award procedure, in one of the situations referred to in Articles 93(1), 94 and 96(2)(a) of the Council Regulation (EC, Euratom) N° 1995/2006 of 13 December 2006 on the Financial Regulation applicable to the general budget of the European Communities [OJ L 390, 30/12/2006, p1].

As a consequence, I certify that:

- In compliance with article 93(1) of the abovementioned Regulation, none of the following cases apply to our organisation:
  - a) it is bankrupt or being wound up, is having its affairs administered by the courts, has entered into an arrangement with creditors, has suspended business activities, is the subject of proceedings concerning those matters, or is in any analogous situation arising from a similar procedure provided for in national legislation or regulations;
  - b) it has been convicted of an offence concerning its professional conduct by a judgment which has the force of res judicata;
  - c) it has been guilty of grave professional misconduct proven by any means which the contracting authority can justify;
  - d) it has not fulfilled obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which it is established or with those of the country of the contracting authority or those of the country where the contract is to be performed;
  - e) it has been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the communities' financial interests;
  - f) it is currently subject to an administrative penalty referred to in Article 96(1) of the above-mentioned regulation.
- In compliance with article 94 of the abovementioned Regulation, and as far as the current grant award procedure is concerned, our organisation:
  - g) is not subject to a conflict of interest;
  - h) has not made false declarations in supplying the information required by the Commission as a condition of participation in the grant award procedure or does not fail to supply this information;
  - i) is not in one of the situations of exclusion, referred to in the abovementioned points a) to f).

2- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I also certify that our organisation:

- is committed to participate in the abovementioned project;
- has stable and sufficient sources of funding to maintain its activity throughout its participation in the abovementioned project and to provide any counterpart funding necessary;
- has or will have the necessary resources as and when needed to carry out its involvement in the abovementioned project.

3- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I finally certify that all the information relating to our organisation set out in the different Grant Agreement Preparation Forms are complete, accurate and correct; and that the estimated costs meet the criteria for eligible costs for FP7 projects – as established by the EC model grant agreement – are notably based on our usual accounting and management principles and practices, and reflect the costs expected to be incurred in carrying out the foreseen work described in Annex I (description of work).

4- Our organisation is fully aware that the Commission may impose administrative or financial penalties on legal entities who are guilty of misrepresentation in supplying the information required by the Commission as a condition of participation in the grant award procedure or fail to supply this information; have been declared to be in serious breach of their obligations under any contract/grant agreement covered by the budget of the Community. Such penalties shall be proportionate to the importance of the contract/grant agreement and the seriousness of the misconduct, and may consist in their exclusion from the contracts and grants financed by the budget of the Commission for a maximum period of ten years and payment of financial penalties.

5- As an authorised representative I certify that the information given in the form A2.2 is correct.

# A2.5: Our commitment

Participant legal name <sup>13</sup>	UNIVERSIDAD DE GRANADA		
Family name of authorised representative	Suarez Ortega	First Name(s)	M <sup>a</sup> Dolores
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	
Family name of authorised representative	Gonzalez Lodeiro	First Name(s)	Francisco
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	



# A2.1: Who we are

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	7	Participant short name <sup>11</sup>	UU
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One form per participant

## Legal data

If your organisation has already registered for FP7, enter your Participant Identity Code <sup>12</sup>	999985805
Participant legal name <sup>13</sup>	UNIVERSITEIT UTRECHT
Participant short name <sup>11</sup>	UU
Status of validation <sup>14</sup>	VALID

## Legal address of the participant

Street name <sup>15</sup>	Heidelberglaan	Number <sup>15</sup>	8
Town <sup>15</sup>	UTRECHT		
Postal code / Cedex <sup>15</sup>	3584 CS		
Country <sup>16</sup>	Netherlands		
Internet homepage (optional)	www.uu.nl		

## Registration data of the participant

Legal registration number <sup>17</sup>	N/A
Registration authority <sup>17</sup>	N/A
Date of registration <sup>17</sup>	01/01/1900
VAT number <sup>18</sup>	NL001798650B01
Legal form <sup>19</sup>	UNK

## Legal Entity Appointed Representative (LEAR) <sup>20</sup>

Family name	LINSCHOTEN	First name(s)	Raoul
Phone 1 <sup>21</sup>		Phone 2 <sup>21</sup>	
E-mail	R.linschoten@uu.nl	Fax <sup>21</sup>	

# A2.2: Who we are

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	7	Participant short name <sup>11</sup>	UU
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One form per participant

## Status of your organisation

Certain types of organisations benefit from special conditions under FP7 participation rules. If you are one of these, please tick the appropriate box(es) below. <sup>22</sup>

Your organisation is:

- Natural person
- Legal person
  - Non profit
    - Research Organisation
  - Public body
    - International organisation
    - International organisation of european interest
  - Secondary and higher education establishment
- Enterprise
  - SME

Indirect costs <sup>41</sup>:

- Actual indirect costs <sup>42</sup>
- Simplified method <sup>43</sup>
- Standard flat rate <sup>44</sup>
- Special transitional flat rate <sup>45</sup>

# A2.3: Authorised Representatives

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	7	Participant short name <sup>11</sup>	UU
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## One form per participant

### First authorised representative to sign the grant agreement or to commit the organisation for this project

Family name	Van der Zwaan	First name(s)	Bert
Title <sup>34</sup>	Prof.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>	Dean		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Faculty of Geosciences		
<b>Address (if different from the legal address) <sup>12</sup></b>			
Street name <sup>15</sup>	Heidelberglaan	Number <sup>15</sup>	2
Town <sup>15</sup>	Utrecht		
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>	Netherlands		
Phone 1 <sup>21</sup>	+31 30 2535117	Phone 2 <sup>21</sup>	+31 30 2532044
E-mail	bzwaan@geo.uu.nl	Fax <sup>21</sup>	+31 30 2540604

### Second authorised representative to sign the grant agreement or to commit the organisation for this project

Family name	Spakman	First name(s)	Wim
Title <sup>34</sup>	Prof.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>	Head of Department		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Faculty of Geosciences		
<b>Address (if different from the legal address) <sup>12</sup></b>			
Street name <sup>15</sup>	Budapestlaan	Number <sup>15</sup>	4
Town <sup>15</sup>	Utrecht		
Postal code / Cedex <sup>15</sup>			
Country <sup>16</sup>	Netherlands		
Phone 1 <sup>21</sup>	+31 30 2535073	Phone 2 <sup>21</sup>	+31 30 2535137
E-mail	wims@geo.uu.nl	Fax <sup>21</sup>	+31 30 2535030

# A2.4: How to contact us

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	7	Participant short name <sup>11</sup>	UU
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## One form per participant

Person in charge of administrative, legal and financial aspects in this project			
Family name	Van der Kallen	First name(s)	Andre
Title <sup>34</sup>	Mr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>36</sup>	Head Resources/ vice-managing Director		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Faculty of Geosciences		
Address (if different from the legal address)			
Street name <sup>15</sup>	Heidelberglaan	Number <sup>15</sup>	2
Town <sup>15</sup>	Utrecht		
Postal code / Cedex <sup>15</sup>	3584 CS		
Country <sup>16</sup>	Netherlands		
Phone 1 <sup>21</sup>	+31-30-253 7456	Phone 2 <sup>21</sup>	+31-30-253 2994
E-mail	a.vdkallen@geo.uu.nl	Fax <sup>21</sup>	+31-30-253 7776

Person in charge of scientific and technical/technological aspects in this project			
Family name	Krijgsman	First name(s)	Wout
Title <sup>34</sup>	Dr.	Gender <sup>35</sup> (Female – F / Male – M)	M
Position in the organisation <sup>35</sup>	Researcher		
Department/Faculty/Institute/Laboratory name/... <sup>37</sup>	Paleomagnetic Laboratory "Fort Hoofddijk"		
Address (if different from the legal address) <sup>12</sup>			
Street name <sup>15</sup>	Budapestlaan	Number <sup>15</sup>	17
Town <sup>15</sup>	Utrecht		
Postal code / Cedex <sup>15</sup>	3584 CD		
Country <sup>16</sup>	Netherlands		
Phone 1 <sup>21</sup>	+31 30 2531672	Phone 2 <sup>21</sup>	+31 30 2531670
E-mail	krijgsma@geo.uu.nl	Fax <sup>21</sup>	+31(0)30 2531677

# A2.5: Our commitment

Project number <sup>1</sup>	237922	Project acronym <sup>2</sup>	THROUGHFLOW	Participant number in this project <sup>10</sup>	7	Participant short name <sup>11</sup>	UU
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## One form per participant

### Certified declaration

1- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I am fully aware that a grant agreement may not be awarded to an applicant who is, at the time of a grant award procedure, in one of the situations referred to in Articles 93(1), 94 and 96(2)(a) of the Council Regulation (EC, Euratom) N° 1995/2006 of 13 December 2006 on the Financial Regulation applicable to the general budget of the European Communities [OJ L 390, 30/12/2006, p1].

As a consequence, I certify that:

- In compliance with article 93(1) of the abovementioned Regulation, none of the following cases apply to our organisation:
  - a) it is bankrupt or being wound up, is having its affairs administered by the courts, has entered into an arrangement with creditors, has suspended business activities, is the subject of proceedings concerning those matters, or is in any analogous situation arising from a similar procedure provided for in national legislation or regulations;
  - b) it has been convicted of an offence concerning its professional conduct by a judgment which has the force of res judicata;
  - c) it has been guilty of grave professional misconduct proven by any means which the contracting authority can justify;
  - d) it has not fulfilled obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which it is established or with those of the country of the contracting authority or those of the country where the contract is to be performed;
  - e) it has been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the communities' financial interests;
  - f) it is currently subject to an administrative penalty referred to in Article 96(1) of the above-mentioned regulation.
- In compliance with article 94 of the abovementioned Regulation, and as far as the current grant award procedure is concerned, our organisation:
  - g) is not subject to a conflict of interest;
  - h) has not made false declarations in supplying the information required by the Commission as a condition of participation in the grant award procedure or does not fail to supply this information;
  - i) is not in one of the situations of exclusion, referred to in the abovementioned points a) to f).

2- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I also certify that our organisation:

- is committed to participate in the abovementioned project;
- has stable and sufficient sources of funding to maintain its activity throughout its participation in the abovementioned project and to provide any counterpart funding necessary;
- has or will have the necessary resources as and when needed to carry out its involvement in the abovementioned project.

3- As an authorised representative to sign the grant agreement or to commit the abovementioned organisation, I finally certify that all the information relating to our organisation set out in the different Grant Agreement Preparation Forms are complete, accurate and correct; and that the estimated costs meet the criteria for eligible costs for FP7 projects – as established by the EC model grant agreement – are notably based on our usual accounting and management principles and practices, and reflect the costs expected to be incurred in carrying out the foreseen work described in Annex I (description of work).

4- Our organisation is fully aware that the Commission may impose administrative or financial penalties on legal entities who are guilty of misrepresentation in supplying the information required by the Commission as a condition of participation in the grant award procedure or fail to supply this information; have been declared to be in serious breach of their obligations under any contract/grant agreement covered by the budget of the Community. Such penalties shall be proportionate to the importance of the contract/grant agreement and the seriousness of the misconduct, and may consist in their exclusion from the contracts and grants financed by the budget of the Commission for a maximum period of ten years and payment of financial penalties.

5- As an authorised representative I certify that the information given in the form A2.2 is correct.

# A2.5: Our commitment

Participant legal name <sup>13</sup>	UNIVERSITEIT UTRECHT		
Family name of authorised representative	Van der Zwaan	First Name(s)	Bert
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	
Family name of authorised representative	Spakman	First Name(s)	Wim
Date		Signature of the authorised representative to sign the grant agreement or to commit the organisation <sup>38</sup>	

## Overall Indicative Project Deliverables

Project Number <sup>1</sup>	237922	Project Acronym <sup>2</sup>	THROUGHFLOW
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### One Form per Project

	Initial Training 0-5 years						Visiting Scientists						Total	Events	
	Early-Stage researchers			Experienced researchers			Visiting scientists (<10)			Visiting scientists (>10)					
	Months	Researchers	% Fixed amount contract (B)	Months	Researchers	% Fixed amount contract (B)	Months	Researchers	% Fixed amount contract (B)	Months	Researchers	% Fixed amount contract (B)	Months	Researcher event days	Number of events
NHM	72	2	0%	0	0	0%	0	0	0%	0	0	0%	72	215	3
CAU	72	2	0%	0	0	0%	0	0	0%	6	1	100%	78	0	0
NNM	72	2	0%	0	0	0%	0	0	0%	0	0	0%	72	192	2
RHUL	36	1	0%	0	0	0%	0	0	0%	0	0	0%	36	12	1
uni-HB	36	1	0%	0	0	0%	0	0	0%	0	0	0%	36	0	0
UGR	72	2	0%	0	0	0%	0	0	0%	0	0	0%	72	50	1
UU	36	1	0%	0	0	0%	0	0	0%	0	0	0%	36	0	0
<b>Overall Total</b>	<b>396</b>	<b>11</b>	<b>0%</b>	<b>0</b>	<b>0</b>	<b>0%</b>	<b>0</b>	<b>0</b>	<b>0%</b>	<b>6</b>	<b>1</b>	<b>100%</b>	<b>402</b>	<b>469</b>	<b>7</b>

## Overall Maximum Community Contribution

Project Number <sup>1</sup>	237922	Project Acronym <sup>2</sup>	THROUGHFLOW
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One Form per Project

The project is lab based



	Monthly living and mobility allowance (A)	Travel allowance (B)	Career exploratory allowance (C)	Contribution to the participation expenses of eligible researchers (D)	Contribution to the research/ training/ transfer of knowledge programme expenses (E)	Contribution to the organisation of international conferences, workshops and events (F)	Management activities (including audit certification) (G)	Contribution to overheads (H)	Total
Year 1	309,110.56	11,500	22,000	46,200	49,800	48,600	34,269.51	48,066.94	569,547.01
Year 2	476,594.67	11,000	0	79,200	79,200	64,500	56,901.22	75,103.07	842,498.96
Year 3	476,594.67	11,000	0	79,200	79,200	15,000	56,901.22	75,103.07	792,998.96
Year 4	198,581.10	0	0	33,000	33,000	12,600	23,708.86	31,292.95	332,182.91
<b>Total</b>	<b>1,460,881.00</b>	<b>33,500</b>	<b>22,000</b>	<b>237,600</b>	<b>241,200</b>	<b>140,700</b>	<b>171,780.81</b>	<b>229,566.03</b>	<b>2,537,227.84</b>



# A3.3: Contribution per participant

Project Number <sup>1</sup>	237922	Project Acronym <sup>2</sup>	THROUGHFLOW
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One Form per Project

## NATURAL HISTORY MUSEUM(NHM)

	Monthly living and mobility allowance (A)	Travel allowance (B)	Career exploratory allowance (C)	Contribution to the participation expenses of eligible researchers (D)	Contribution to the research/ training/ transfer of knowledge programme expenses (E)	Contribution to the organisation of international conferences, workshops and events (F)	Management activities (including audit certification) (G)	Contribution to overheads (H)	Total
Year 1	53,890.28	2,000	4,000	8,400	8,400	0	16,485.16	10,166.15	103,341.59
Year 2	92,383.33	2,000	0	14,400	14,400	64,500	28,260.27	17,427.68	233,371.28
Year 3	92,383.33	2,000	0	14,400	14,400	0	28,260.27	17,427.68	168,871.28
Year 4	38,493.06	0	0	6,000	6,000	0	11,775.11	7,261.54	69,529.71
<b>Total</b>	<b>277,150.00</b>	<b>6,000</b>	<b>4,000</b>	<b>43,200</b>	<b>43,200</b>	<b>64,500</b>	<b>84,780.81</b>	<b>52,283.05</b>	<b>575,113.86</b>

## CHRISTIAN-ALBRECHTS-UNIVERSITAET ZU KIEL(CAU)

	Monthly living and mobility allowance (A)	Travel allowance (B)	Career exploratory allowance (C)	Contribution to the participation expenses of eligible researchers (D)	Contribution to the research/ training/ transfer of knowledge programme expenses (E)	Contribution to the organisation of international conferences, workshops and events (F)	Management activities (including audit certification) (G)	Contribution to overheads (H)	Total
Year 1	81,187.44	2,500	4,000	8,400	12,000	0	2,812.12	11,113.63	122,013.19
Year 2	85,869.33	2,000	0	14,400	14,400	0	2,974.29	11,754.53	131,398.15
Year 3	85,869.33	2,000	0	14,400	14,400	0	2,974.29	11,754.53	131,398.15
Year 4	35,778.90	0	0	6,000	6,000	0	1,239.30	4,897.72	53,915.92
<b>Total</b>	<b>288,705.00</b>	<b>6,500</b>	<b>4,000</b>	<b>43,200</b>	<b>46,800</b>	<b>0</b>	<b>10,000.00</b>	<b>39,520.41</b>	<b>438,725.41</b>

# A3.3: Contribution per participant

## STICHTING NATIONAAL NATUURHISTORISCH MUSEUM NATURALIS(NNM)

	Monthly living and mobility allowance (A)	Travel allowance (B)	Career exploratory allowance (C)	Contribution to the participation expenses of eligible researchers (D)	Contribution to the research/ training/ transfer of knowledge programme expenses (E)	Contribution to the organisation of international conferences, workshops and events (F)	Management activities (including audit certification) (G)	Contribution to overheads (H)	Total
Year 1	49,942.28	2,000	4,000	8,400	8,400	45,000	9,527.78	8,863.66	136,133.72
Year 2	85,615.33	2,000	0	14,400	14,400	0	16,333.33	15,194.85	147,943.51
Year 3	85,615.33	2,000	0	14,400	14,400	0	16,333.33	15,194.85	147,943.51
Year 4	35,673.06	0	0	6,000	6,000	12,600	6,805.56	6,331.20	73,409.82
<b>Total</b>	<b>256,846.00</b>	<b>6,000</b>	<b>4,000</b>	<b>43,200</b>	<b>43,200</b>	<b>57,600</b>	<b>49,000.00</b>	<b>45,584.56</b>	<b>505,430.56</b>

## ROYAL HOLLOWAY AND BEDFORD NEW COLLEGE(RHUL)

	Monthly living and mobility allowance (A)	Travel allowance (B)	Career exploratory allowance (C)	Contribution to the participation expenses of eligible researchers (D)	Contribution to the research/ training/ transfer of knowledge programme expenses (E)	Contribution to the organisation of international conferences, workshops and events (F)	Management activities (including audit certification) (G)	Contribution to overheads (H)	Total
Year 1	26,945.14	1,000	2,000	4,200	4,200	3,600	1,166.67	3,818.40	46,930.21
Year 2	46,191.67	1,000	0	7,200	7,200	0	2,000.00	6,545.83	70,137.50
Year 3	46,191.67	1,000	0	7,200	7,200	0	2,000.00	6,545.83	70,137.50
Year 4	19,246.52	0	0	3,000	3,000	0	833.33	2,727.42	28,807.27
<b>Total</b>	<b>138,575.00</b>	<b>3,000</b>	<b>2,000</b>	<b>21,600</b>	<b>21,600</b>	<b>3,600</b>	<b>6,000.00</b>	<b>19,637.48</b>	<b>216,012.48</b>

# A3.3: Contribution per participant

## UNIVERSITAET BREMEN(uni-HB)

	Monthly living and mobility allowance (A)	Travel allowance (B)	Career exploratory allowance (C)	Contribution to the participation expenses of eligible researchers (D)	Contribution to the research/ training/ transfer of knowledge programme expenses (E)	Contribution to the organisation of international conferences, workshops and events (F)	Management activities (including audit certification) (G)	Contribution to overheads (H)	Total
Year 1	25,045.22	1,000	2,000	4,200	4,200	0	1,166.67	3,558.40	41,170.29
Year 2	42,934.67	1,000	0	7,200	7,200	0	2,000.00	6,100.12	66,434.79
Year 3	42,934.67	1,000	0	7,200	7,200	0	2,000.00	6,100.12	66,434.79
Year 4	17,889.44	0	0	3,000	3,000	0	833.33	2,541.71	27,264.48
<b>Total</b>	<b>128,804.00</b>	<b>3,000</b>	<b>2,000</b>	<b>21,600</b>	<b>21,600</b>	<b>0</b>	<b>6,000.00</b>	<b>18,300.35</b>	<b>201,304.35</b>

## UNIVERSIDAD DE GRANADA(UGR)

	Monthly living and mobility allowance (A)	Travel allowance (B)	Career exploratory allowance (C)	Contribution to the participation expenses of eligible researchers (D)	Contribution to the research/ training/ transfer of knowledge programme expenses (E)	Contribution to the organisation of international conferences, workshops and events (F)	Management activities (including audit certification) (G)	Contribution to overheads (H)	Total
Year 1	47,129.06	2,000	4,000	8,400	8,400	0	1,944.44	6,995.70	78,869.20
Year 2	80,792.67	2,000	0	14,400	14,400	0	3,333.33	11,992.63	126,918.63
Year 3	80,792.67	2,000	0	14,400	14,400	15,000	3,333.33	11,992.63	141,918.63
Year 4	33,663.60	0	0	6,000	6,000	0	1,388.90	4,996.94	52,049.44
<b>Total</b>	<b>242,378.00</b>	<b>6,000</b>	<b>4,000</b>	<b>43,200</b>	<b>43,200</b>	<b>15,000</b>	<b>10,000.00</b>	<b>35,977.90</b>	<b>399,755.90</b>

# A3.3: Contribution per participant

UNIVERSITEIT UTRECHT(UU)

	Monthly living and mobility allowance (A)	Travel allowance (B)	Career exploratory allowance (C)	Contribution to the participation expenses of eligible researchers (D)	Contribution to the research/ training/ transfer of knowledge programme expenses (E)	Contribution to the organisation of international conferences, workshops and events (F)	Management activities (including audit certification) (G)	Contribution to overheads (H)	Total
Year 1	24,971.14	1,000	2,000	4,200	4,200	0	1,166.67	3,551.00	41,088.81
Year 2	42,807.67	1,000	0	7,200	7,200	0	2,000.00	6,087.43	66,295.10
Year 3	42,807.67	1,000	0	7,200	7,200	0	2,000.00	6,087.43	66,295.10
Year 4	17,836.52	0	0	3,000	3,000	0	833.33	2,536.42	27,206.27
<b>Total</b>	128,423.00	3,000	2,000	21,600	21,600	0	6,000.00	18,262.28	200,885.28

# FINANCIAL IDENTIFICATION

PRIVACY STATEMENT

[http://ec.europa.eu/budget/execution/ftiers\\_fr.htm](http://ec.europa.eu/budget/execution/ftiers_fr.htm)

PROJECT NUMBER 237922

PROJECT ACRONYM THROUGHFLOW

## ACCOUNT NAME

ACCOUNT NAME <sup>(1)</sup> 555/00/06319270

ADDRESS 186 Brompton Road

TOWN/CITY London

POSTCODE SW3 1JX

COUNTRY United Kingdom

CONTACT <sup>(1)</sup> Greenwood Neil

TELEPHONE + 44 207 942 5474

FAX +44 207 942 5400

E - MAIL n.greenwood@nhm.ac.uk

## BANK

BANK NAME Natwest, Knightsbridge Branch

BRANCH ADDRESS 186 Brompton Road

TOWN/CITY London

POSTCODE SW3 1JX

COUNTRY United Kingdom

ACCOUNT NUMBER

IBAN<sup>(2)</sup> GB06NWBK60721106319270

REMARKS:

We certify that above information declared is complete and true.

**BANK STAMP + SIGNATURE OF BANK REPRESENTATIVE**  
**(Both Obligatory)<sup>(3)</sup>**

**DATE + SIGNATURE ACCOUNT HOLDER : (Obligatory)**

<sup>(1)</sup> The name or title under which the account has been opened and not the name of the authorized agent

<sup>(2)</sup> If the IBAN Code (International Bank account number) is applied in the country where your bank is situated

<sup>(3)</sup> It is preferable to attach a copy of recent bank statement, in which event the stamp of the bank and the signature of the bank's representative are not required. The signature of the account-holder is obligatory in all cases.

## **1. Project number**

The project number has been assigned by the Commission as the unique identifier for your project. It cannot be changed. The project number **should appear on each page of the grant agreement preparation documents (part A and part B)** to prevent errors during its handling.

## **2. Project acronym**

Use the project acronym as given in the submitted proposal. It cannot be changed unless agreed so during the negotiations. The same acronym **should appear on each page of the grant agreement preparation documents (part A and part B)** to prevent errors during its handling.

## **3. Project title**

Use the title (no longer than 200 characters) as given in the submitted proposal. Minor corrections are possible if agreed during the negotiations. The title should be understandable to the non-specialist.

## **4. Starting date**

In case a specific starting date is requested, insert this starting date of the project. The coordinator should present during the negotiations a written justification for the requested starting date. This starting date must be after the submission of the proposal and normally two months after the end of the negotiations.

## **5. Duration**

Insert the estimated duration of the project in full months. Deviations from the duration in the original proposal must be justified in part B.

## **6. Call (part) identifier**

The Call (part) identifier is the reference number given in the call or part of the call you were addressing, as indicated in the publication of the call in the Official Journal of the European Union. You have to use the identifier given by the Commission in the letter opening the negotiation.

## **7. Activity code(s) most relevant to your topic**

Use as the first activity code the one set out in the letter opening the negotiation followed by the code(s) given in your proposal – if any. Changes are possible in case of material errors (for the list see <http://www.cordis.lu/fp6/activitycodes>).

## **8. Free keywords**

Use the free keywords from your original proposal; changes and additions are possible. (maximum 100 characters including spaces, commas etc.).

## **9. Abstract**

Use the abstract from your original proposal and amend to take account of the following: you should not use more than 2,000 characters, the abstract should, at a glance, provide the reader with a clear understanding of the objectives of the project and how the objectives will be achieved, and their relevance in the context of the objectives of the specific programme and the work programme. This summary will be used as the short description of the project for the public following signature of the grant agreement and in communications to the programme management committees and other interested parties. It must therefore be short and precise and should not contain confidential information. Please use plain typed text, avoiding formulae and other special characters. If the project is written in a language other than English, please include an English version of the abstract in part B.

## **10. Participant number**

The number allocated by the Consortium to the participant for this project. The coordinator of a project is always number one.

## **11. Participant short name**

The short name chosen by the participant. This should normally not be more than 20 characters and the same short name should be used for the participant in all documents relating to the project.

## **12. Participant identity code**

To be completed when Unique Registration Facility will be operational.

## **13. Participant legal name**

Official name of participant organisation. If applicable, name under which the participant is registered in the official trade registers.

## **14. Status of validation**

If the status of validation of the participant is VALIDATED, this means the data provided in A2.1 has been validated by the Commission and this validated information is given in the A2.1 form.

If the information that is provided is VALIDATED but is incorrect you should provide to the Commission an identification fiche and recent (not older than 6 months) supporting legal documents (see [http://ec.europa.eu/budget/execution/legal\\_entities\\_en.htm](http://ec.europa.eu/budget/execution/legal_entities_en.htm)).

If the information that is provided is VALIDATED but refers to another legal entity and has no relation to your organisation you should contact the Project Officer assigned to your project.

If the status of validation is NOT VALIDATED, you should provide the Commission an identification fiche and recent (not older than 6 months) supporting legal documents (see [http://ec.europa.eu/budget/execution/legal\\_entities\\_en.htm](http://ec.europa.eu/budget/execution/legal_entities_en.htm)).

#### **15. Address data**

Only the fields forming the complete postal address need to be completed.

#### **16. Country**

The name of the country as commonly used.

#### **17. Legal registration number, place and date of registration**

If applicable, the organisation's legal national registration number.

#### **18. VAT number**

If applicable, the organisation's Value Added Tax (VAT) number from the VAT register.

#### **19. Legal form**

Indicate whether the organisation is Ltd, PLC, SA, GmbH...

#### **20. Legal Entity Appointed Representative (LEAR)**

The Appointed Representative is the contact point of the organisation with respect to legal information on the organisation. This person can be contacted by the Commission's Legal Validation Team to provide legal documentation and maintain up-to-date legal information on the organisation. For more information see [http://cordis.europa.eu/fp7/urf\\_en.html](http://cordis.europa.eu/fp7/urf_en.html).

#### **21. Phone and fax numbers**

Please insert the full numbers including country and city/area code. Example +32-2-2991111.

#### **22. Main categories of applicants/beneficiaries and their key rights and obligations**

See table before endnotes

#### **23. Natural person**

Natural person refers to a physical person. The place of establishment refers in this case to the habitual residence of the person.

#### **24. Public body**

Public body means any legal entity established as such by national law and international organisations.

#### **25. Non profit public body**

Non profit organisation is an organisation considered as such by national law or international law. Public body – see above.

#### **26. Profit public body**

A public body that is not considered non profit - see above.

#### **27. International organisation of European interest**

An international organisation, the majority of whose members are Member States or Associated Countries and whose principal objective is to promote scientific and technological cooperation in Europe.

#### **28. International organisation – other**

International organisation means an intergovernmental organisation other than the Community which has legal personality under international public law, as well as any specialised agency set up by such an international organisation.

#### **29. Secondary and higher education establishment**

Organisations that deliver diplomas recognised by a country (typically universities).

#### **30. Research organisation**

Research organisation means a legal entity established as a non-profit organisation that carries out research or technological development as one of its main objectives.

#### **31. Enterprise**

Any entity engaged in an economic activity, irrespective of its legal form.

### **32. SME**

SME means micro, small and medium sized enterprise within the meaning of Recommendation 2003/361/EC in the version of 6 May 2003 (see [http://ec.europa.eu/enterprise/enterprise\\_policy/sme\\_definition/index\\_en.htm](http://ec.europa.eu/enterprise/enterprise_policy/sme_definition/index_en.htm)).

An enterprise is considered as an SME, taking into account its partner enterprises and/or linked enterprises (please see the above mentioned recommendation for an explanation of these notions and their impact on the definition), if it:

- employs fewer than 250 persons
- has an annual turnover not exceeding EUR 50 million, and/or
- an annual balance sheet total not exceeding EUR 43 million
- is autonomous

The headcount corresponds to the number of annual work units (AWU), i.e. the number of persons who worked full-time within the enterprise in question or on its behalf during the entire reference year under consideration. The work of persons who have not worked the full year, the work of those who have worked part-time, regardless of duration, and the work of seasonal workers are counted as fractions of AWU. The staff consists of:

- employees;
- persons working for the enterprise being subordinated to it and deemed to be employees under national law;
- owner-managers;
- is autonomous

partners engaging in a regular activity in the enterprise and benefiting from financial advantages from the enterprise.

ATTENTION: Apprentices or students engaged in vocational training with an apprenticeship or vocational training contract can not be included as staff. The duration of maternity or parental leaves is also not counted.

The data to apply to the financial amounts (e.g. turnover and balance sheet), as well as to the headcount of staff, are those relating to the latest approved accounting period and calculated on an annual basis. They are taken into account from the date of closure of the accounts. The amount selected for the turnover is calculated excluding value added tax (VAT) and other indirect taxes.

In the case of newly-established enterprises whose accounts have not yet been approved, the data to apply is to be derived from a bona fide estimate made in the course of the financial year. These organisations must insert "N/A" for the two questions relating to the duration and the closing date of their last approved accounting period.

### **33. Non-SME**

An enterprise that is not an SME.

### **34. Title**

Please choose one of the following: Prof., Dr., Mr., Ms.

### **35. Gender**

This information is required for statistical purposes. Please indicate with an F for female or an M for male as appropriate.

### **36. Position**

Please indicate the position in your organisation e.g. Rector, President, Chief Executive Officer, Director etc.

### **37. Department/faculty/institute/laboratory name/...**

Please indicate here the postal address for contact purposes.

### **38. Signature**

The A2.5-form needs to be signed by at least one of the authorised representatives indicated in the A2.3-form.

### **39. Security Aspect Letter**

See Appendix 4 of the Negotiation Guidance Notes.

### **40. Funding % for RTD/Innovation activities**

For research and technological development activities, the Community financial contribution may reach a maximum of 50% of the total eligible costs.



However, for beneficiaries that are non-profit public bodies, secondary and higher education establishments, research organisations and SMEs, the rate may reach a maximum of 75% of the total eligible costs. If these beneficiaries change their status during the life of the project, this reimbursement rate shall be applicable up to the moment they lose their status.

#### **41. Indirect costs**

Indirect costs are all those eligible costs which cannot be identified by the beneficiary as being directly attributed to the project, but which can be identified and justified by its accounting system as being incurred in direct relationship with the eligible direct costs attributed to the project. They may not include any eligible costs.

#### **42. Actual indirect costs**

Beneficiaries who have an analytical accounting system to identify their indirect costs are allowed to claim actual indirect costs.

#### **43. Simplified method**

If it is in accordance with its usual accounting and management principles and practices, a beneficiary is allowed to use a simplified method of calculation of its full indirect eligible cost at the level of its legal entity. Use of such a method is only acceptable where the lack of analytical accounting or the legal requirement to use a form of cash-based accounting prevents detailed cost allocation. The simplified approach must be based on actual costs derived from the financial accounts of the period in question.

#### **44. Standard flat rate**

A beneficiary may opt for a flat rate of 20% of its total eligible costs excluding the costs for subcontracting and the costs of reimbursement of resources made available by third parties that are not used on the premises of the beneficiary

#### **45. Special transitional flat rate**

Non-profit public bodies, secondary and higher education establishments, and research organisations and SMEs, which are – due to the lack of analytical accounting – unable to identify with certainty their real indirect costs for the project, when participating in funding schemes which include research and technological development and demonstration activities, as referred to in the table of Article II.16 of the grant agreement, may opt for a flat-rate of 60% of the total direct eligible costs excluding costs for subcontracting and the costs of reimbursement of resources made available by third parties which are not used on the premises of the beneficiary. If these beneficiaries change their status during the life of the project, this flat rate shall be applicable up to the moment they lose their status.

#### **46. ICPC**

If you are participating from an International Cooperation Partner Country (ICPC), you can opt for lump sum funding instead of reimbursement of eligible costs.

#### **47. Funding % for Coordination/Support activities**

The Community financial contribution may reach a maximum of 100% of the total eligible costs.

#### **48. Maximum reimbursement of indirect costs**

In the case of coordination and support actions, reimbursement of indirect eligible costs for every beneficiary may reach a maximum of 7% of the direct eligible costs, excluding the direct eligible costs for subcontracting and the costs of reimbursement of resources made available by third parties which are not used on the premises of the beneficiary.

#### **49. Funding % for RTD**

For research and technological development activities, the Community financial contribution may reach a maximum of 50% of the total eligible costs.

However, for beneficiaries that are non-profit public bodies, secondary and higher education establishments, research organisations and SMEs, the rate may reach a maximum of 75% of the total eligible costs. If these beneficiaries change their status during the life of the project, this reimbursement rate shall be applicable up to the moment they lose their status.

#### **50. Account name**

The name or title under which the account has been opened and not the name of the authorised agent.

#### **51. IBAN**

If the IBAN code (International Bank Account Number) is applied in the country where your bank is situated.

#### **52. Bank stamp + signature bank representative**

The bank stamp and signature of its representative are not required if this form is accompanied by a copy of a bank statement.

#### **100. Entities composed of one or more legal entities**

European Economic Interest Group / Joint Research Unit (Unité mixte de recherche) / Enterprise groupings.